





### ATTORNEYS & COUNSELORS

2435 N. Central Expwy., Suite 600 Richardson, Texas 75080 (972) 744-2900, Fax (972) 744-2909 www.jw.com



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DATE: January 8, 2002		TOTAL PAGE COUNT: 9				
FROM: Robert C. Klinger		DIRECT DIAL NUMBER:				
NAME	COMPANY NAME	FACSIMILE NUMBER	PHONE NUMBER			
Office of Initial Patent Examination	USPTO	703-305-9822	703-308-0910			
Examination						
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CLIENT/MATTER NO.: TIMEKEEPER:	101950,00027	prohibited. If you have re immediately notify us by tele facsimile to us at the addres	eceived this in error, please ephone and return the original is above via the United States nburse any costs you incur in			

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Frank W. Ahern

Art Unit:

2181

Serial No.:

09/933,347

Examiner: unknown

Filed:

May 20, 2000

Docket No.: 101950.00027

For:

SYSTEM ENABLING DEVICE COMMUNICATION IN AN

EXPANDED COMPUTING DEVICE

## REQUEST FOR CLARIFICATION ASSIGNED TO SAME APPLICATION)

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

#### CERTIFICATE OF FACSIMILE TRANSMISSION PURSUANT TO 37 C.F.R., 1.8

I hereby certify that this correspondence is being transmitted by facsimile to the Commissioner for Patents at facsimile number 703/305-9822 on January 8, 2002.

Two serial numbers have been assigned to the above-identified application. Please consider the following and advise which of the serial numbers under which prosecution will continue.

On June 7, 2001, a Decision Granting Petition was mailed in which the above-identified application was granted status as an application under 37 C.F.R. 1.53(b), not a CPA under 37 C.F.R. 1.53(d) (copy attached hereto). A new serial number of 09/819,053 was assigned to the application and receipt of the filing fee was acknowledged in this Decision.

On November 13, 2001, a Filing Receipt was received by the undersigned attorney indicating (2181 - 030, Lefkousitz) a serial number of 09/819,053 (copy attached hereto). On December 26, 2001, a Filing Receipt was 12181 - 020 received by the undersigned attorney indicating a serial number of 09/933,347 (copy attached hereto).





The undersigned attorney respectfully requests clarification as to which f the serial numbers mentioned above is correct.

Should further information be required in order to clear up this matter, please c ntact the undersigned attorney at the telephone number listed below.

Sincerely,

Rebert C. Klinger Reg. No. 34,365

Attorney for Applicant

Jackson Walker L.L.P.
2435 North Central Expressway, Suite 600
Richardson, Texas 75080
(972) 744-2902 Phone
(972) 744-2909 - Fax

**4**004

PETITIONS.







UNITED STATES PATENT AND TRADEHARY OFFICE

COMMEDICATE FOR PATENTS UNITED STATES PATENT AND TRADEGRARK OFFICE WASHINGTON, D.C.

Paper No. 4

Robert C. Klinger Jackson Walker, LLP 2435 N. Central Expressway Suite 600 Richardson, TX 75080

**COPY MAILED** 

JUN 0 7 2001

OFFICE OF PETITIONS A/C PATENTS

In re Application of Frank W. Ahern Application No. 09/130,058 Filed: August 6, 1998 Attorney Docket No. 100S 14

DECISION GRANTING PETITION

In re Application of Frank W. Ahern Application No. 09/819,053 Filed: May 20, 2000 Attorney Docket No. 101950,00027

This is a decision on the petition filed February 20, 2001, requesting that an application of FRANK W. AHERN entitled "SYSTEM ENABLING DEVICE COMMUNICATION IN AN EXPANDED COMPUTING DEVICE" (attorney docket No. 101950.00027) improperly filed pursuant to 37 CFR 1.53(d) be accorded a filing date of May 20, 2000 under 37 CFR 1.53(b).

Petitioner alleges that original application papers were filed in the Patent and Trademark Office (PTO) on May 20, 2000. In support, petitioner has supplied a copy of his postcard receipt followed by a copy of the original application papers as shown by counsel's file. The postcard receipt acknowledges the filing on May 20, 2000 of:

1. CPA Application

2. Declaration and Power of Attorney

3. Filing Fee; and

4. Postcard

The papers identified on the postcard receipt cannot be located in the PTO. However, PTO finance records do confirm receipt of CPA filing fees being paid on May 20, 2000 in Application No. 09/130,058.

On May 25, 2001, petitioner filed a copy of a request for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) of prior application No. 09/130,058, a declaration under 37 CFR 1.63 and a copy of the original specification and drawings from the prior application purportedly filed on May 20, 2000.

**2**005



Application Nos. 09/130,058 & 09/819,053

Page 2

The present petition is being construed as a positive affirmation by the registered practitioner that the papers filed in the PTO on May 25, 2001, are true copies of the original application papers shown by counsel's file to have been filed in the PTO on May 20, 2000. Applicants should notify this office if the original papers are subsequently discovered in the PTO so that the present duplicate file can be merged with the original papers and any duplicate filing fee refunded.

Petitioner now indicate that the application was inadvertently filed pursuant to 37 CFR 1.53(d) and requests that the request for a CPA be treated as an application under 37 CFR 1.53(b), and accorded a filing date of May 20, 2000. It is noted that application No. 09/180,058 issued as U.S. Patent No. 6,070,214 on May 30, 2000, as a result of the payment of the issue fee on April 7, 2000.

The petition is **GRANTED**.

The copy of the request for a CPA application, including the accompanying papers filed May 25, 2001, and the petition filed February 20, 2001, have been assigned application No. 09/819,053. All future correspondence concerning the continuing application filed May 20, 2000 should be directed to application No. 09/819,053. One of the CPA filing fees paid in application No. 09/130,058 on May 20, 2000, will be reapplied to application No. 09/819,053.

Thereafter, application No. 09/130,058 will be returned to the Files Repository.

Application No. 09/819,053 is being forwarded to Initial Patent Examination Division for further processing, with a filing date of May 20, 2000, as an application filed pursuant to 37 CFR 1.53(b), not a CPA under 37 CFR 1.53(d), using the application papers supplied on May 25, 2001.

Telephone inquires related to this decision should be directed to the undersigned at (703) 305-9220. Telephone inquiries related to OIPE processing should be directed to their hotline at (703) 308-1202.

Sherry D. Brinkley Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy







### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, O.C. 20231

www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/819,053	05/20/2000	2181	372	101950- 00027	4	23	3

**CONFIRMATION NO. 9991** 

FILING RECEIPT -0C000000007033805\*

Robert C. Klinger Jackson Walker, LLP Suite 600 2435 N. Central Expressway Richardson, TX 75080

Date Mailed: 11/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank W. Ahern, Scottsdale, AZ;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/130,058 08/06/1998 PAT 6,070,214

Foreign Applications

If Required, Foreign Filing License Granted 10/12/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

DOCKETED MYCLA IIII3/01

Title

System enabling device communication in an expanded computing device

RECEIVED
NOV 1 3 2001

Preliminary Class





710

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## United States Patent and Trademark Office

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VASHINGTON, D.C. 20231
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 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/933.347
 05/20/2000
 2181
 372
 1 DOS 14
 4
 23
 3

**CONFIRMATION NO. 4443** 

Robert C. Klinger Jackson Walker, LLP Suite 600 2435 N. Central Expressway Richardson, TX 75080

Date Mailed: 12/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank W. Ahern, Scottsdale, AZ;

D mestic Priority data as claimed by applicant
THIS APPLICATION IS A CON OF 09/130,058 08/06/1998 PAT 6,070,214

F reign Applications

If Required, Foreign Filing License Granted 08/31/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

System enabling device communication in an expanded computing device

**Preliminary Class** 

710

DEC 2 6 2001





# LICENSE FOR FOREIGN FILING UNDER Titl 35, United States C d , Section 184 Title 37, C d f F deral Regulati n , 5.11 & 5.15

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